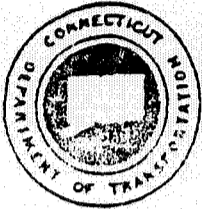


APPENDIX DBE



CONNECTICUT DEPARTMENT OF TRANSPORTATION  
POLICY STATEMENT

POLICY NO. ADMIN.-19

June 13, 1988

SUBJECT: Policy on D.B.E.'s

As part of the requirements of Title 49, Code of Federal Regulations Part 23, effective immediately, the following Policy Statement must be included in all federal-aid contracts, all financial assistance agreements and in all subcontracts.

I am therefore directing the following be included in all agreements with contractors, subcontractors, consultants, cities, towns and all recipients of State or Federal-assistance funds.

"Policy - It is the Policy of the Department of Transportation that disadvantaged business enterprises as defined in 49 CFR Part 23 shall have the maximum opportunity to participate in the performance of contracts financed in whole or in part with Federal or State funds under this agreement. Consequently, the D.B.E. requirements of 49 CFR Part 23 apply to this agreement."

"D.B.E. Obligation - The State or its contractor agrees to ensure that disadvantaged business enterprises as defined in 49 CFR Part 23 have the maximum opportunity to participate in the performance of contracts and subcontracts financed in whole or in part with Federal funds provided under this agreement. In this regard, the State and its contractors shall take all necessary and reasonable steps in accordance with 49 CFR Part 23 to ensure that disadvantaged business enterprises have the maximum opportunity to compete for and perform contracts. Recipients and their contractors shall not discriminate on the basis of race, color, national origin or sex in the award and performance of Department of Transportation assisted contracts."

In addition to the above, all financial assistance agreements shall also contain the following statement:

"If as a condition of assistance the Connecticut Department of Transportation has submitted and the U.S. Department of Transportation has approved a disadvantaged business enterprise affirmative action program which the recipient agrees to carry out, this Program is incorporated into this financial assistance agreement by reference. This Program shall be treated as a legal obligation and failure to carry out its terms shall be treated as a violation of this financial assistance agreement. Upon notification

to the State of its failure to carry out the approved Program, the Department shall impose such sanctions as noted in 49 CFR Part 23, Subpart E, which sanctions may include terminations of the agreement or other measures that may affect the ability of the recipient to obtain future D.O.T. financial assistance."

This supercedes Administrative Memorandum No. 198.

  
J. William Burns, Commissioner